

2174

PTO/SB/21 (09-04)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number

10/040,397

Filing Date

December 28, 2001

First Named Inventor

Ballard, Alan

Art Unit

2174

Examiner Name

Ryan F. Pitaro

Attorney Docket Number

021756-019500US

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Request for Corrected Filing Receipt; Redline Corrected Copy of Updated Filing Receipt, Copy of Second Preliminary Amendment, Application Data Sheet, Return Postcard

- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Jason D. Lohr

Date

July 28, 2006

Reg. No.

48,163

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Dana Kane

Date

July 28, 2006



I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to:

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On

TOWNSEND and TOWNSEND and CREW LLP

By:

PATENT  
Docket No.: 021756-019500US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ALAN BALLARD et al.

Application No.: 10/040,397

Filed: December 28, 2001

For: CUSTOMIZATION OF TAB-  
ORDER FUNCTIONALITY IN  
INTERNET APPLICATIONS

Examiner: Ryan F. Pitaro

Art Unit: 2174

REQUEST FOR CORRECTED FILING  
RECEIPT

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the  
above-noted application for which issuance of a corrected Filing Receipt is respectfully  
requested.

There is an error in the Domestic Priority section of the Filing Receipt.  
The correct Domestic Priority should read as follows **(with corrections noted in bold  
text):**

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/01

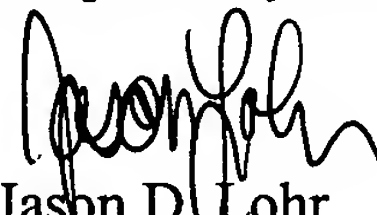
On July 19, 2006, a phone call was placed to Examiner Ryan F. Pitaro (571) 272-4071, regarding the second priority claim. A return phone call was received on July 24, 2006 from Examiner Pitaro in which he indicated that due to the fact that the priority claim was made of record at the time the application was filed, no further steps need to be taken except to file a Request for Corrected Filing Receipt, and include a copy of the Second Preliminary Amendment as filed on July 15, 2002.

Therefore, in support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 15, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0001], line 4 and the serial numbers in paragraph [0002], lines 1, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

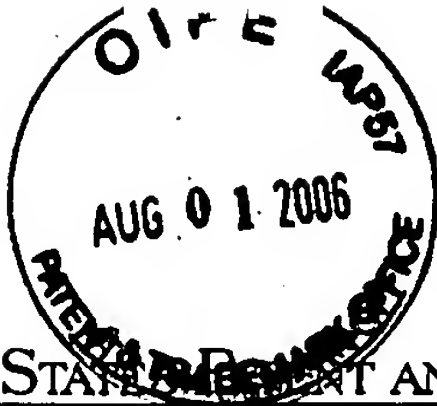
An Application Data Sheet is included with this Response.

Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Please note that the Issue Fee for this application is due on August 18, 2006. Therefore, Applicants respectfully request that the Filing Receipt be updated and returned prior to the Issue Fee Payment date.

Respectfully submitted,

  
Jason D. Lohr  
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200/ Fax: (415) 576-0300  
JDL/km



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,397	12/28/2001	2152	3696	PA1741US	25	93	21

CONFIRMATION NO. 2076

22830  
CARR & FERRELL LLP  
2225 EAST BAYSHORE ROAD  
SUITE 200  
PALO ALTO, CA 94303

## UPDATED FILING RECEIPT



\*OC000000008135765\*

Date Mailed: 05/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alan Ballard, Vancouver, CANADA;  
Peggy Shafer, Pleasanton, CA;  
Theresa Sherwood, Walnut Creek, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001

AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

## Foreign Applications

If Required, Foreign Filing License Granted 02/05/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Customization of tab-order functionality in internet applications

## Preliminary Class

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



BEST AVAILABLE COPY

Patent/Serial No.: 10/040,397 Issue/Filing Date: December 28, 2001  
Title: Customization of Tab-Order Functionality in Internet Applications  
Inventor(s)/Applicant(s): Alan Ballard et al.  
C & F Docket No.: PA1741US Atty/Sec. Initials: SMC/elf  
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date:

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT & TRADEMARK  
OFFICE ON THE DATE STAMPED HEREON:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Transmittal letter/form PTO/SB/21                                | <input checked="" type="checkbox"/> Certificate of Mailing                                    |
| <input type="checkbox"/> Provisional Application _____ pages + cover                                 | <input type="checkbox"/> Express Mail No. _____   |
| <input type="checkbox"/> Utility Application: _____ pages of specification<br>(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement                                     |
| <input type="checkbox"/> Continuation/Divisional Application   | <input checked="" type="checkbox"/> IDS form (PTO/SB/08) (2 copies)                           |
| <input type="checkbox"/> Design Application  | <input type="checkbox"/> Petition for Extension of Time: _____ months                         |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs.                               | <input type="checkbox"/> Petition for: _____  |
| <input type="checkbox"/> Declaration & POA   | <input type="checkbox"/> Issue Fee Transmittal (2 copies)                                     |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies)                                      | <input type="checkbox"/> PCT Application: _____ pgs of specification<br>(w/claims & abstract) |
| <input type="checkbox"/> Check in the amount of \$ _____   | <input type="checkbox"/> PCT Demand _____ pages   |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet   | <input type="checkbox"/> PCT Request _____ pages  |
| <input checked="" type="checkbox"/> Amendment/Response _____ 4 pages.                                |   |
| <input type="checkbox"/> Other: _____  |   |

PLEASE STAMP THE DATE OF RECEIPT OF RECEIVED DOCUMENTS AND RETURN THIS CARD TO THE  
ADDRESSEE.

COPY





Please type a page number in this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/040,397
Filing Date	December 28, 2001
First Named Inventor	Alan Ballard
Group Art Unit	2152
Examiner Name	Unknown
Attorney Docket Number	PA1741US

Total Number of Pages in This Submission 5

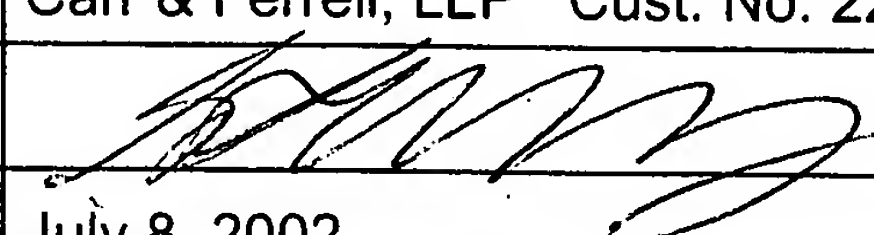
## ENCLOSURES (check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Postcard |
|---|---|---|

Remarks

Total page number does not include the postcard.


## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	 Reg. No. 50,250
Date	July 8, 2002

**COPY**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 8, 2002

Typed or printed name	Steven M. Colby
Signature	

Date July 8, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

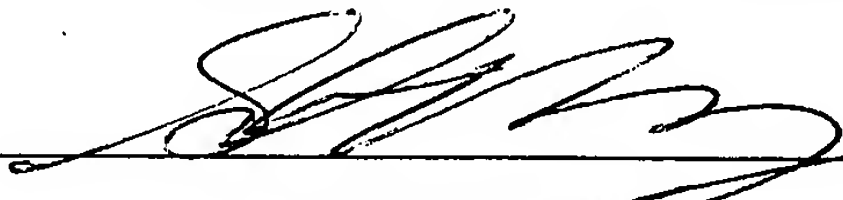
APPLICANT: Alan Ballard, Peggy Shafer, and Theresa Sherwood.  
SERIAL NO.: 10/040,397  
FILING DATE: December 28, 2001  
TITLE: Customization of Tab-order Functionality in Internet Applications  
EXAMINER: Unknown  
ART UNIT: 2152  
ATTY.DKT.NO.: PA1741US

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Date:

July 8, 2002

  
Steven M. Colby

Commissioner for Patents  
Washington, D.C. 20231

Sir:

2<sup>nd</sup> PRELIMINARY AMENDMENT

The captioned application is U.S. Serial No. 10/040,397, filed December 28, 2001.

Before action, please amend the captioned application as follows.

**COPY**



## IN THE CROSS-REFERENCE TO RELATED APPLICATIONS

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001.

The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

**COPY**

### REMARKS

The present application is U.S. Patent Application Serial No. 10/040,397. This 2<sup>nd</sup> Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

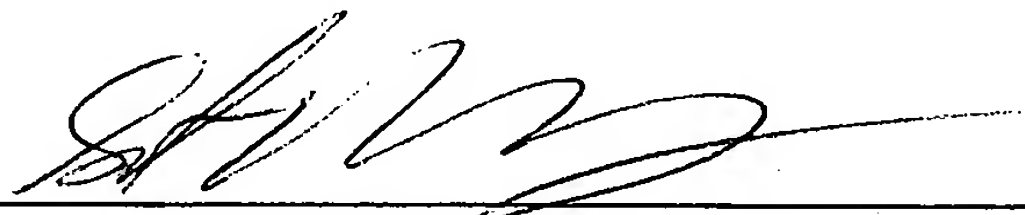
The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Allan Ballard et al.

Date: July 8, 2000

By: \_\_\_\_\_



Steven M. Colby, Ph.D.  
Registered Patent Agent  
Reg. No. 50,250  
Carr & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
Phone: (650) 812-3424  
Fax: (650) 812-3444

**COPY**

## APPENDIX A

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/\_\_\_\_\_316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

**COPY**



## Application Data Sheet

### Application Information

Application number::	10040397
Filing Date::	12/28/01
Application Type::	Regular
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R??::	
Number of CD disks::	
Number of copies of CDs::	
Sequence Submission::	
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	Customization of Tab-Order Functionality in Internet Applications
Attorney Docket Number::	021756-019500US
Request for Early Publication::	No
Request for Non-Publication::	No
Suggested Drawing Figure::	
Total Drawing Sheets::	25
Small Entity?::	No
Latin name::	
Variety denomination name::	
Petition included?::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers One::	
Secrecy Order in Parent Appl.::	No

### **Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Canada  
Status:: Full Capacity  
Given Name:: Alan  
Middle Name::  
Family Name:: Ballard  
Name Suffix::  
City of Residence:: Vancouver, BC  
State or Province of Residence::  
Country of Residence:: Canada  
Street of Mailing Address:: 3452 West 11th Avenue  
City of Mailing Address:: Vancouver  
State or Province of mailing address:: BC  
Country of mailing address:: Canada  
Postal or Zip Code of mailing address:: V6R 2J9

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Peggy  
Middle Name::  
Family Name:: Shafer  
Name Suffix::  
City of Residence:: Pleasanton  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 1250 Country Lane  
City of Mailing Address:: Pleasanton  
State or Province of mailing address:: CA

Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94588

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Theresa  
Middle Name::  
Family Name:: Sherwood  
Name Suffix::  
City of Residence:: Walnut Creek  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 1021 Springfield Drive  
City of Mailing Address:: Walnut Creek  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94598

### **Correspondence Information**

Correspondence Customer Number:: 51206

### **Representative Information**

Representative Customer Number:: 51206

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An Appn claiming benefit under 35 USC 119(e) of	60/273,190	03/02/01
This Application	An Appn claiming	60/316,773	08/31/01



benefit under 35 USC  
119(e) of

**Foreign Priority Information**

Country::                      Application number::                      Filing Date::

**Assignee Information**

Assignee Name::                      Oracle International Corporation  
Street of mailing address::                      500 Oracle Parkway, Mail Stop 5OP7  
City of mailing address::                      Redwood Shores  
State or Province of mailing address::                      CA  
Country of mailing address::                      US  
Postal or Zip Code of mailing address::                      94065